



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/915,188	07/25/2001	John C. Dute	A-70825/RMA

CONFIRMATION NO. 5259

FORMALITIES LETTER



OC000000006524315

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
Suite 3400
Four Embarcadero Center
San Francisco, CA 94111-4187

COPY OF PAPER
ORIGINALLY FILED

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1258.
 - \$1098 for 61 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2098.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2002 NPHH1 00000010 09915188
01 FC:201 370.00
02 FC:203 549.00
03 FC:202 84.00
04 FC:205 65.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John C. Duté, et al.

Examiner: Unknown

Serial No. 09/915,188

Art Unit: 2182

Filed: July 25, 2001

Title: System, Device and Method for Comprehensive Input/Output Interface
Between Process or Machine Transducers and Controlling Device or
System

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ORIGINALLY FILED

Box Missing Parts
Commissioner of Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS UNDER 37 C.F.R. 1.53(b)

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed on September 7, 2001, in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the above-identified patent application. The signed declaration for patent application is enclosed herewith under 37 C.F.R. §§1.27 and 1.16(e).

☐ Small entity status is proper for the assignee under 37 C.F.R. §§1.9 and 1.27.

The fees associated with the filing of this response are shown below:

										Fees
Filing Fee										\$ 370.00
Missing Parts Surcharge										\$ 65.00
Any Additional Claim(s) over 20			62x	\$ 9.00						\$ 549.00
Any Additional Independent Claim(s) over 3			2x	\$42.00						\$ 84.00
Multiple Claim(s)			x							\$ 0.00
<input checked="" type="checkbox"/> Extension of Time Fee										\$ 460.00
For Large Entity										
Divide In Half For Small Entity										
TOTAL FEE ENCLOSED										= \$ 1528.00
										= \$ 0.00



- ☐ No additional fee is required for this _____.
- ☐ Please charge Deposit Account No. 06-1300 in the amount of \$0.00.
- ☒ The Commissioner is hereby authorized to charge any additional fees and credit any overpayments to Deposit Account No. 06-1300 (order no. A-70825/RMA).
- ☐ Charge any patent application processing fees under 37 C.F.R. 1.17.

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ORIGINALLY FILED

Respectfully submitted,
John C. Duté, et al.

Date: 1/25/02
FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
4 Embarcadero Center, Suite 3400
San Francisco, CA 94111
(415) 781-1989

By R. Michael Ananian
R. Michael Ananian
Attorney for Applicants
Reg. No. 35,050

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

Date: 1/25/02 By: Victoria Christopher
Victoria Christopher

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/915,188
Filing Date	July 25, 2001
First Named Inventor	John C. Duté
Group Art Unit	2182
Examiner Name	Unknown
Attorney Docket Number	A-70825/RMA

Total Number of Pages in This Submission

8

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Deposit Account Authorization
on Fee Transmittal Form

☐ Amendment / Response

☐ After Final

☒ Signed Oath/Declaration

☒ Extension of Time Request

☒ Return Postcard

☐ Information Disclosure Statement &
PTO Form 1449

☐ Certified Copy of Priority
Document(s)

☒ Response to Missing Parts

☐ Response to Incomplete Application

☐ Assignment & Cover Sheet
(for an Application)

☐ Drawing(s) _____ sheets

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Request for Continued Examination

☐ Status Letter

☐ Other Enclosure(s)
(please identify below):

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

R. Michael Ananian, Esq., Reg. No. 35,050
Flehr Hohbach Test Albritton & Herbert LLP
4 Embarcadero Center, Suite 3400
San Francisco, CA 94111

Signature

R. Michael Ananian

Date

January 25, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 25, 2002

Typed or printed name

Victoria Christopher

Signature

Victoria Christopher

Date

January 25, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTABLE for FY 2002

Patent fees are subject to annual revision.

FEB 14 2002
PATENT & TRADEMARK OFFICE

Complete if Known

Application Number 09/915,188
Filing Date July 25, 2001
First Named Inventor John C. Dute
Examiner Name Unknown
Group / Art Unit 2182
Attorney Docket No. A-70825/RMA

COPY OF PAPER
ORIGINALLY FILED

TOTAL AMOUNT OF PAYMENT (\$) 1528

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 06-1300

Deposit Account Name Flehr Hobbach Test Albritton & Herbert LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 370)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
81	-20 **		61	9	549
5	-3 **		2	42	84
					0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 633)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 525)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	R. Michael Ananian	Registration No. Attorney/Agent	35,050	Telephone	(415) 781-1989
Signature				Date	January 25, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.